# APPLICATION FOR ALCOHOLIC BEVERAGE LICENSE(S)

ABC 211 (6/99)

TO: Department of Alcoholic Beverage Control

100 PASEO DE SAN ANTONIO

**ROOM 119** 

SAN JOSE, CA 95113

(408) 277-1200

File Number: 587913

Receipt Number: 2462458 Geographical Code: 4303

Copies Mailed Date: October 19, 2017

Issued Date:

DISTRICT SERVING LOCATION:

SAN JOSE

First Owner:

GALPAO GAUCHO THREE, LLC

Name of Business:

Location of Business:

19780 STEVENS CREEK BLVD

CUPERTINO, CA 95014-2456

County:

SANTA CLARA

Is Premise inside city limits?

Yes

Census Tract 5080.01

Mailing Address: (If different from

1990 TROWER AVE

NAPA, CA 94558-2209

premises address)

Type of license(s):

47

Transferor's license/name: 506546 / SAVIR LLC

Dropping Partner: Yes\_\_\_ No

No\_Z

License Type	Transaction Type	Fee Type	Master	<u>Dup</u>	<u>Date</u>	<u>Fee</u>
47 - On-Sale General Eating	ANNUAL FEE	P40	Y	0	10/19/17	\$876.00
47 - On-Sale General Eating	PREMISE TO PREMISE TRANSFER	P40	Y	0	10/19/17	\$100.00
47 - On-Sale General Eating	PERSON-TO-PERSON TRANSFER	P40	Y	0	10/19/17	\$1,250.00
					Total	\$2,226.00

Have you ever been convicted of a felony? No

Have you ever violated any provisions of the Alcoholic Beverage Control Act, or regulations of the Department pertaining to the Act? **No** 

Explain any "Yes" answer to the above questions on an attachment which shall be deemed part of this application.

Applicant agrees (a) that any manager employed in an on-sale licensed premises will have all the qualifications of a licensee, and (b) that he will not violate or cause or permit to be violated any of the provisions of the Alcoholic Beverage Control Act.

STATE OF CALIFORNIA

County of SANTA CLARA

Date: October 19, 2017

Under penalty of perjury, each person whose signature appears below, certifies and says: (1) He is an applicant, or one of the applicants, or an executive officer of the applicant corporation, named in the foregoing application, duly authorized to make this application on its behalf; (2) that he has read the foregoing and knows the contents thereof and that each of the above statements therein made are true; (3) that no person other than the applicant or applicants has any direct or indirect interest in the applicant or applicant's business to be conducted under the license(s) for which this application is made; (4) that the transfer application or proposed transfer is not made to satisfy the payment of a loan or to fulfill an agreement entered into more than ninety (90) days preceding the day on which the transfer application is filed with the Department or to gain or establish a preference to or for any creditor or transferor or to defraud or injure any creditor of transferor; (5) that the transfer application may be withdrawn by either the applicant or the licensee with no resulting liability to the Department.

Effective July 1, 2012, Revenue and Taxation Code Section 7057, authorizes the State Board of Equalization and the Franchise Tax Board to share taxpayer information with Department of Alcoholic Beverage Control. The Department may suspend, revoke, and refuse to issue a license if the licensec's name appears in the 500 largest tax delinquencies list. (Business and Professions Code Section 494.5.)

Applicant Name(s)

Applicant Signature(s)

See 211 Signature Page

GALPAO GAUCHO THREE, LLC

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## APPLICATION SIGNATURE SHEET ("SIGN ON")

- This form is to be used as the signature page for applications not signed in the District Office.
- Read instructions on reverse before completing.
- · All signatures must be notarized in accordance with laws of the State where signed.
- 2 FILE NUMBER (If any)

3. LICENSE TYPE

47

Married Couple

Sale Owner

Partnership

Domestic Partner

4. TRANSACTION TYPE Original

Exchange

Partnership-Ltd

Corporation

Limited Liability Company

Other

Person to Person Transfer

Premise to Premise Transfer

Other

5. APPLICANT(S) NAME (Last, first, middle)

### Galpao Gaucho Three, LLC

6. APPLICANT'S MAILING ADDRESS (Street address/P.O. box. city, state, zip code)

1990 Trower Ave., Napa, CA 94558

7. PREMISES ADDRESS (Street address, city, zip code)

19780 Stevens Creek Blvd., Cupertino, CA 950/4

### APPLICANT'S CERTIFICATION

Under penalty of perjury, each person whose signature appears below, certifies and says: (1) He/She is an applicant, or one of the applicants, or an executive officer of the applicant corporation, named in the foregoing application, duly authorized to make this application on its behalf; (2) that he/she has read the foregoing and knows the contents thereof and that each of the above statements therein made are true; (3) that no person other than the applicant or applicants has any direct or indirect interest in the applicant or applicant's business to be conducted under the license(s) for which this application is made; (4) that the transfer

payment of a loan or to fulfill an agreement entered into more than ninety (90) days preceding the day on which the transfer application is filed with the Department, (b) to gain or establish a preference to or for any creditor or transferor, or (c) to defraud or injure any creditor or transferor; (5) that the transfer application may be withdrawn by either the applicant or the licensee with no resulting liability to the Department,

I understand that if I fail to qualify for the license or withdraw this application there will be a service charge of one-fourth of the license fee paid, up to \$100.

### SOLE OWNER

8. PRINTED NAME (Last, first, middle) SIGNATURE DATE SIGNED Х PARTNERSHIP/LIMITED PARTNERSHIP (Signatures of general partners only) 9. PARTNER'S PRINTED NAME (Last, first, middle) SIGNATURE DATE SIGNED Х PARTNER'S PRINTED NAME (Last, first, middle) SIGNATURE DATE SIGNED Х PARTNER'S PRINTED NAME (Last, first, middle) SIGNATURE DATE SIGNED Х CORPORATION 10 PRINTED NAME (Last, first, middle) SIGNATURE DATE SIGNED Х TITLE President Vice President Chairman of the Board PRINTED NAME (Last first, middle) DATE SIGNED SIGNATURE . X Secretary Asst. Secretary Chief Financial Officer Asst. Treasurer LIMITED LIABILITY COMPANY NOTARIZE EACH SIGNATURE 11. The limited liability company is member-run No (If no, complete item #12 below) 12 NAME OF DESIGNATED MANAGER, MANAGING MEMBER OR DESIGNATED OFFICER (Last. first. middle

13 MEMBER'S PRINTED NAME (Last, first, middle)

Wachholz, Alberto, Marino MEMBER'S PRINTED NAME (Last, first, middle) Hernandez Reyes, Juan de Dios

ABC-211-SIG (2/09)

SIGNATURE

DATE SIGNED

Х

SIGNATURE

"SIGN ON'

Notary Public, State of Tex Comm. Expires 07-20-2021 Notary ID 131215852

This form is to be used as the sign	nature page for	—-	SHIP TYPE (Check one)			
<ul> <li>applications not signed in the District Office.</li> <li>Read instructions on reverse before completing.</li> </ul>		Sole Owner  Partnership		i Partr	Partnership-Ltd	
				Corporation		
All signatures must be notarize	ed in accordance		Married Couple	■ Limited Liability Company		
with laws of the State where si	gned.		Domestic Partner	Othe		
2. FILE NUMBER (if any)	3. LICENSE TYPE	4. TRANSA	ACTION TYPE		·	
			ginal	Pers	on to Person Transfer	
		Exchange		Premise to Premise Transfer		
	47			— Othe		
5. APPLICANT(S) NAME (Last, first, middle)				:		
Galpao Gaucho Three, LLC						
6. APPLICANT'S MAILING ADDRESS (Street address		<del></del>				
1990 Trower Ave., Napa, CA 9455						
7. PREMISES ADDRESS (Street address, city, zip or			• • • • • • •		* * *	
19780 Stevens Creek Blvd., C	Dupertino, CA 95 <i>のい</i> り	ļ.				
• •	APPLICA	ANT'S CE	RTIFICATION	•	•	
Under penalty of perjury, each pe	erson whose signature appea	ars	payment of a loan or to	fulfill an a	agreement entered into more than	
below, certifies and says: (1) He/Sl	ne is an applicant, or one of		ninety (90) days preceding the day on which the transfer			
the applicants, or an executive office	er of the applicant		application is filed with	the Depar	tment, (b) to gain or establish a	
corporation, named in the foregoing	, application, duly authorized	d	preference to or for any	creditor o	r transferor, or (c) to defraud or	
to make this application on its behal	f; (2) that he/she has read th	ıc	injure any creditor or transferor; (5) that the transfer application			
foregoing and knows the contents thereof and that each of the above statements therein made are true; (3) that no person other			may be withdrawn by either the applicant or the licensee with no			
than the applicant or applicants has	nue, (5) mai no person otner		resulting liability to the Department.  I understand that if I fail to qualify for the license or withdraw this application there will be a service charge of one-fourth of the			
in the applicant or applicant's busine	any uncer or muneer interes	il e				
license(s) for which this application	is made: (4) that the transfe	r	license fee paid, up to \$		vice charge of one-fourth of the	
SOLE OWNER	The second of th		needse ree part, up to a	, , ,	-	
8 PRINTED NAME (Last, first, middle)		SIGNATURE			DATE SIGNED	
		X			DATE SIGNED	
PARTNERSHIP/LIMITED PARTNE	RSHIP (Signatures of gr	eneral pai	tners only)		1	
9. PARTNER'S PRINTED NAME (Last, first, middle)		SIGNATÜRE			DATE SIGNED	
		Χ				
PARTNER'S PRINTED NAME (Last, first, middle)	· · · · · · · · · · · · · · · · · · ·	SIGNATURE		-	DATE SIGNED	
		X				
PARTNER'S PRINTED NAME (Last, first, middle)		SIGNATURE	· · · · · · · · · · · · · · · · · · ·		DATE SIGNED	
		Χ				
CORPORATION					.1	
10. PRINTED NAME (Last, first, middle)		SIGNATURE		-	DATE SIGNED	
		Χ				
TITLE	<del> </del>				- Lagrangia - Lagr	
President Vice President	Chairman of the Board					
PRINTED NAME (Last, first, middle)	***	SIGNATURE			DATE SIGNED	
		Х				
TITLE				-	1	
Secretary Asst. Secretary	Chief Financial Officer	Asst	t. Treasurer			
LIMITED LIABILITY COMPANY	NOTARIZE	EACH SI	GNATURE			
11. The limited liability company is mem			Yes	No	Of no complete Item #42 helevel	
2. NAME OF DESIGNATED MANAGER, MANAGING		CER (Last, first,		110	(If no, complete Item #12 below)	
			,			
3 MEMBER'S PRINTED NAME (Last, first, middle)	· · · · · · · · · · · · · · · · · · ·	SIGNATURE	$\Delta$ .	•	DATE SIGNED	
Wachholz, Alberto, Marino		X			10-05-2012	
MEMBER'S PRINTED NAME (Last, first, middle)	•	SIGNATURE			DATE SIGNED	
Hernandez Reyes, Juan de Dios		Χ			SIN SIGN	
SULVECT	tilhood and 5 and	- 10 b	من مده مرسان		క్షాణ్య, STORMIE MAE WEST-WILLIAM	

ABC-211-SIG (2/09)

My Notary ID # 125777989

# APPLICATION SIGNATURE SHEET (continued)

## APPLICANT'S CERTIFICATION

Under penalty of perjury, each person whose signature appears below, certifies and says: (1) He/She is an applicant, or one of the applicants, or an executive officer of the applicant corporation, named in the foregoing application, duly authorized to make this application on its behalf; (2) that he/she has read the foregoing and knows the contents thereof and that each of the above statements therein made are true; (3) that no person other than the applicant or applicants has any direct or indirect interest in the applicant or applicant's business to be conducted under the license(s) for which this application is made; (4) that the transfer

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I understand that if I fail to qualify for the license or withdraw this application there will be a service charge of one-fourth of the license fee paid, up to \$100.

••	ADDI	TIONAL SIGNATURES	
14. PRINTED NAME (Last, first, middle)	•	SIGNATURE	DATE SIGNED
Gava, Jovani, Marcos PRINTED NAME (Last, first, middle)	SEE ATTACHEL CALIFORMA NOTARIZATION	X Dichi / In force signature	19-12-201Z
		X	!
PRINTED NAME (Last, first, middle)		SIGNATURE	DATE SIGNED
		X	į
PRINTED NAME (Last, first, middle)		SIGNATURE	DATE SIGNED
		X	
PRINTED NAME (Last, first, middle)		SIGNATURE	DATE SIGNED
	•	X	
PRINTED NAME (Last, first, middle)		SIGNATURE	DATE SIGNED
		X	
PRINTED NAME (Last, first, middle)		SIGNATURE	DATE SIGNED
		X	
PRINTED NAME (Last, first, middle)		SIGNATURĒ	DATE SIGNED
		X	i
PRINTED NAME (Last, first, middle)		SIGNATURE	DATE SIGNED
		X	
PRINTED NAME (Last, first, middle)	17/21-	SIGNATURE	DATE SIGNED
		X	

### INSTRUCTIONS AND GENERAL INFORMATION

- · Type or print clearly in black or blue ink (do not use red).
- If you need more space for signatures, use Item #14.

Ownership Type (Item #1) - Check the box for the type of ownership for the business.

File Number (Item #2) - If this is an application for a transfer or exchange, enter the number assigned to the specific license being transferred or exchanged.

*License Type* (Item #3) - Enter the numeric designation for the license (e.g., Type 21) or description (e.g., Off-Sale General).

Transaction Type (Item #4) - Check the box for the type of transaction.

Applicant(s) Name (Item #5) - Enter the name of the applicant. For a general partnership, the names of the individual partners. For a limited partnership, limited liability company, or a corporation, the name of the entity. Applicant's Mailing Address (Item #6) - Enter the address where you wish to receive mail. May be different from the premises address. Business and mailing addresses are public information and are available to the public. Please consider this, especially when listing a mailing address.

*Premises Address* (Item #7) - Enter the location of the premises for which the license is applied.

Partnerships (Item #9) - The application must be signed by each of the partners (e.g., general partnerships, husband and wife, etc.) Limited Partnerships - The application must be signed by each of the general partners. Limited partners do not need to sign.

Corporations (Item #10) - The application must be signed by two officers of the corporation, one from each of the following categories: (a) The chairperson of the board, the president, or a vice president; and (b) the secretary, assistant secretary, chief financial officer, or assistant treasurer.

Limited Liability Companies (Item #13) - For a limited liability company that is managed by its members, the application must be signed by each member or by an officer authorized by the articles of organization or the operating agreement to bind the company. For a limited liability company that is managed by a manager or managers, the application must be signed by the manager or managers or by an officer authorized by the articles of organization or the operating agreement to bind the company.

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document

State of California	
County of Santa Clara	
On 10/12/2017 before me, D.1  JOVANI M. G. AVI	
who proved to me on the basis of satisfactory is/are subscribed to the within instrument and acknowle in his/her/their authorized capacity(ies), and that by person(s), or the entity upon behalf of which the certify under Penalty of Perjury under the laws of the is true and correct.  WITNESS MY HAND AND OFFICIAL SEA	evidence to be the person(s) whose name(s) ledged to me that he/she/they-executed the same his/her/then signature(s) on the instrument the he person(s) acted, executed the instrument. State of California that the foregoing paragraph  AL.  D PATEL COMMISSION # 2133739  SANTA CLAIFORNIA COUNTY My Comm. Exp. May 17, 2021
Signature of Noury Public	(Notary Scal)
OPTIONAL INFO  The acknowledgment contained within this document is in accordance performed within the State of California shall use the preceding wordin ment cannot be affixed to a document sent by mail of others means, whereby the signer did not personally appear before notary public. In addition, the correct notarial wording can of and signature cannot be affixed to a document without the corr	the with California law Any tertificate of acknowledgement grant to Civil Code section 1189. An acknowledgewise delivered to a notary public, including electronic the notary public, even if the signer is known by the only be signed and sealed by a notary public. The seal
DESCRIPTION OF ATTACHED DOCUMENT  Applicants Certification  Applications ignature Sheet  (Title of document)  Number of Pages 2 (Including acknowledgment)  Document Date	CAPACITY CLAIMED BY SIGNER  Individual Corporate Officer Partner Attorney-In-Fact Trustee Other